

Do I receive a statement every month?

All clients with a nonzero balance will receive statements quarterly. Monthly statements are sent for every month in which you have activity in the account. If no activity occurs in the account, you will, at the very least, receive a quarterly statement. Clients will receive a monthly statement for a household account only if there is activity in the parent account.

What qualifies as “activity” in an account?

Activity that will prompt a monthly statement generation includes purchases and sales of securities, deposits, withdrawals, asset transfer, and dividend reinvestments. Activities that do not automatically prompt statement generation include money market, balance forward items, household account suppression or open orders.

What is a household account?

A household statement consolidates various accounts custodied at INTL FCStone Financial into a single statement.

What control do I have over when I receive statements?

Clients have the ability to turn on statements to receive every month, no matter the activity in the account. You can also elect to receive statements via e-delivery.

Can I view my statement online?

Yes. Clients can enroll in our Wealth Access portal to view their brokerage account(s), statements, activities online. Visit www.saswealth.com for more information on how to elect online access.

Online Resources:

Enroll for Online Account Access

Visit: www.saswealth.com
then click the “Client Login” button. Once on the login page for the Wealth Access Portal, click “ENROLL” at the bottom.

A Guide To Reading Your Statement

Visit: www.saswealth.com/our-clients

About SA Stone Wealth Management

SA Stone Wealth Management Inc. (“SA Stone”) is a securities broker-dealer registered with the U.S. Securities and Exchange Commission (SEC) and is a member FINRA/SIPC. We support more than 450 financial advisors across the country, including your advisor. SA Stone utilizes INTL FCStone Financial Inc. (IFCF) as our clearing firm to custody client accounts. IFCF is a clearing broker-dealer registered with the SEC and member FINRA/SIPC.

Both SA Stone Wealth Management Inc. and INTL FCStone Financial Inc. are wholly owned subsidiaries of INTL FCStone Inc. Through its various companies, INTL FCStone is a leading provider of execution, risk management, market intelligence, and post-trade services across asset classes and markets around the world. INTL FCStone Inc. is a publicly traded, Fortune 500 company listed on the Nasdaq under the ticker symbol “INTL”. For more information about INTL FCStone, visit www.intlfcstone.com.